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| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | Attorney Docket No.                      | 0557-4524-2                            |
|   | First Inventor or Application Identifier | Nobuaki TOMIDOKORO, et al.             |
|   | Title                                    | IMAGE FORMING DEVICE MANAGEMENT SYSTEM |

U.S. PTO  
09/19/98  
11/19/98

|  |   |
|--|---|
| <b>APPLICATION ELEMENTS</b><br><small>See MPEP chapter 600 concerning utility patent application contents</small>  | <b>ADDRESS TO:</b> Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231   |
| <p>Free Transmittal Form (e.g. PTO/SB/17)<br/>Submit an original and a duplicate for fee processing)</p> <p>1. <input checked="" type="checkbox"/> Specification Total Pages <b>164</b></p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets <b>70</b></p> <p>4. <input type="checkbox"/> Oath or Declaration Total Pages <input type="text"/><br/>a. <input type="checkbox"/> Newly executed (original or copy)<br/>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d))<br/>(for continuation/divisional with box 15 completed)<br/>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting inventor(s) named<br/>in the prior application, see 37 C.F.R. §1.63(d)(2) and<br/>1.33(b).</p> <p>5. <input type="checkbox"/> Incorporation By Reference (usable if box 4B is checked)<br/>The entire disclosure of the prior application, from which a copy of the<br/>oath or declaration is supplied under Box 4B, is considered to be part<br/>of the disclosure of the accompanying application and is hereby<br/>incorporated by reference therein.</p> | <b>ACCOMPANYING APPLICATION PARTS</b><br><p>6. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>7. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney<br/>(when there is an assignee)</p> <p>8. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>9. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations (4)</p> <p>10. <input type="checkbox"/> Preliminary Amendment</p> <p>11. <input checked="" type="checkbox"/> White Advance Serial No. Postcard</p> <p>12. <input type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application. Status still proper and desired.</p> <p>13. <input type="checkbox"/> Certified Copy of Priority Document(s)<br/>(if foreign priority is claimed)</p> <p>14. <input checked="" type="checkbox"/> Other: Notice of Priority, List of Inventors' Names and Addresses, List of Related Cases, Statement of Relevancy</p> |
| <p>15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application no.:<br/>Prior application information: Examiner: Group Art Unit:</p>   |   |
| <p>16. Amend the specification by inserting before the first line the sentence:</p> <p><input type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP)<br/>of application Serial No. Filed on</p> <p><input type="checkbox"/> This application claims priority of provisional application Serial No. Filed</p>  |   |
| <p><b>17. CORRESPONDENCE ADDRESS</b></p> <p>OBLON, SPIVAK, MCCLELLAND, MAIER &amp; NEUSTADT, P.C.<br/>FOURTH FLOOR<br/>1755 JEFFERSON DAVIS HIGHWAY<br/>ARLINGTON, VIRGINIA 22202<br/>(703) 413-3000<br/>FACSIMILE: (703) 413-2220</p>   |   |

|            |   |                   |          |
|------------|---|-------------------|----------|
| Name:      | Marvin J. Spivak  | Registration No.: | 24,913   |
| Signature: |  | Date:             | 11/19/98 |
| Name:      |   | Registration No.: |          |

Docket No. 0557-4524-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Nobuaki TOMIDOKORO, et al.  
SERIAL NO: New Application  
FILING DATE: Herewith  
FOR: IMAGE FORMING DEVICE MANAGEMENT SYSTEM

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

| FOR  | NUMBER<br>FILED | NUMBER<br>EXTRA | RATE      | CALCULATIONS |
|--|-----------------|-----------------|-----------|--------------|
| TOTAL CLAIMS   | 29 - 20 =       | 9               | × \$18 =  | \$162.00     |
| INDEPENDENT CLAIMS   | 8 - 3 =         | 5               | × \$78 =  | \$390.00     |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)   |                 |                 | + \$260 = | \$0.00       |
| <input checked="" type="checkbox"/> LATE FILING OF DECLARATION       |                 |                 | + \$130 = | \$130.00     |
| BASIC FEE  |                 |                 |           | \$760.00     |
| TOTAL OF ABOVE CALCULATIONS  |                 |                 |           | \$1,442.00   |
| <input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY |                 |                 |           | \$0.00       |
| <input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE              |                 |                 | + \$130 = | \$0.00       |
| <input type="checkbox"/> RECORDATION OF ASSIGNMENT                   |                 |                 | + \$40 =  | \$0.00       |
| TOTAL  |                 |                 |           | \$1,442.00   |

- ☐ Please charge Deposit Account No. 15-0030 in the amount of A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$1,442.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Marvin J. Spivak  
Registration No. 24,913

Date: 11/19/98

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(OSMMN 11/98)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Nobuaki TOMIDOKORO, et al.

FILING DATE: Herewith

FOR: IMAGE FORMING DEVICE MANAGEMENT SYSTEM

**LIST OF INVENTORS' NAMES AND ADDRESSES**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

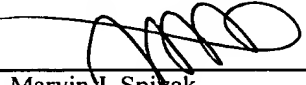
Listed below are the names and addresses of the inventors for the above-identified patent application.

|                    |                     |
|--------------------|---------------------|
| Nobuaki TOMIDOKORO | Yokohama-shi, Japan |
| Tetsuo ASAKAWA     | Tokyo, Japan        |
| Shohzoh MIYAWAKI   | Urawa-shi, Japan    |
| Hiroshi NISHIDA    | Yokohama-shi, Japan |

A declaration containing all the necessary information will be submitted at a later date.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Marvin J. Spivak  
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0557-4524-2

#4

DOCKET NUMBER: 0557-4524-2/tsh

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:  
Nabuaki TOMIDOKORO, et al

: GROUP: 2771

SERIAL NUMBER: 09/195,604

: ATTENTION:  
Application Division  
Customer Corrections

FILED: November 19, 1998

FOR: IMAGE FORMING DEVICE MANAGEMENT SYSTEM

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Assistant Commissioner for Patents  
Washington, D.C. 20231

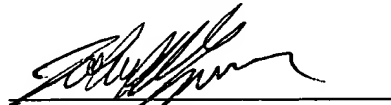
Sir:

The Patent Office is requested to provide a corrected Official Filing Receipt for the attached. If you have any questions, please do not hesitate to contact us.

No fees are required. However, in the event that a fee is required, please charge the appropriate amount to our Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Marvin J. Spivak  
Attorney of Record  
Registration Number 24,913  
~~Robert F. Gnusa~~  
Registration Number 27,295

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FILING RECEIPT

THN  
12-17-98



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/195,604         | 11/19/98    | 2771         | \$1,442.00    | 0557-4524-2         | 70    | 29     | 8      |

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NEUSTADT  
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ARLINGTON VA 22202

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

NABUAKI TOMIDOKORO, YOKOHAMA-SHI, JAPAN; TETSUO ASAKAWA,  
TOKYO, JAPAN; SHOHZOH MIYAWAKI, URAWA-SHI, JAPAN; HIROSHI  
NISHIDA, YOKOHAMA-SHI, JAPAN.

|                       |       |           |          |
|-----------------------|-------|-----------|----------|
| FOREIGN APPLICATIONS- | JAPAN | 9-322840  | 11/25/97 |
|                       | JAPAN | 9-328789  | 11/28/97 |
|                       | JAPAN | 9-328837  | 11/28/97 |
|                       | JAPAN | 9-328842  | 11/28/97 |
|                       | JAPAN | 9-328843  | 11/28/97 |
|                       | JAPAN | 10-065787 | 03/16/98 |
|                       | JAPAN | 10-251670 | 09/04/98 |

TITLE

IMAGE FORMING DEVICE MANAGEMENT SYSTEM

PRELIMINARY CLASS: 707

PLEASE NOTE THAT THE FIRST, THIRD, AND FOURTH APPLICANTS' INFORMATION IS INCORRECT, IT SHOULD READ AS FOLLOWS:

|                           |                  |       |
|---------------------------|------------------|-------|
| 1ST = NABUAKI TOMIDOKORO, | <u>KANAGAWA,</u> | JAPAN |
| 3RD = SHOHZOH MIYAWAKI,   | <u>SAITAMA,</u>  | JAPAN |
| 4TH = HIROSHI NISHIDA,    | <u>KANAGAWA,</u> | JAPAN |

DEC 17 1998

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT P.C.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Nobuaki TOMIDOKORO, et al.

GAU:

SERIAL NO: New Application

EXAMINER:

FILED: HEREWITH

FOR: IMAGE FORMING DEVICE MANAGEMENT SYSTEM



REQUEST FOR PRIORITY UNDER 35 U.S.C. 119  
AND THE INTERNATIONAL CONVENTION

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

| <u>COUNTRY</u> | <u>APPLICATION NUMBER</u> | <u>MONTH/DAY/YEAR</u> |
|----------------|---------------------------|-----------------------|
| Japan          | 9-322840                  | November 25, 1997     |
| Japan          | 9-328789                  | November 28, 1997     |
| Japan          | 9-328837                  | November 28, 1997     |
| Japan          | 9-328842                  | November 28, 1997     |
| Japan          | 9-328843                  | November 28, 1997     |
| Japan          | 10-065787                 | March 16, 1998        |
| Japan          | 10-251670                 | September 4, 1998     |

A Certified copy of the corresponding Convention Application(s)

☐ are submitted herewith

☒ will be submitted prior to payment of the Final Fee

☐ were filed in prior application Serial No. filed

☐ (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and

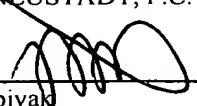
(B) Application Serial No.(s)

☐ are submitted herewith

☐ will be submitted prior to payment of the Final Fee

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
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